

A Nettoree for Pattern Matching with Flexible Wildcard Constraints*

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Abstract

*In this paper, a new nonlinear structure called Nettoree is proposed. A Nettoree is different from a tree in that a node may have more than one parent. An algorithm, named Nettoree for pAttern Matching with flExible wlldcard Constraints (NAMEIC), based on Nettoree is designed to solve pattern matching with flexible wildcard constraints. The problem is exponential with regard to the pattern length m . We prove the correctness of the algorithm, and illustrate how it works through an example. NAMEIC is W^*m times faster than an existing approach because the result can be given after creating the Nettoree in one pass, where W is the maximal gap flexibility. Experiments validate the correctness and efficiency of NAMEIC.*

Keywords: Nettoree, Pattern matching, Flexible wildcard

1. Introduction

Many real-problems such as biological sequence analysis [1,2], text indexing [3,4], time series data mining [5], stream data mining [6,7] and so on involve pattern matching (or string matching or text matching) with wildcards ("don't cares"), often marked as "*" (or "?", " ϕ ", "#") which can match any letter in a given set of symbols [3,8, 9]. There are mainly two kinds of research efforts for the problem of string matching with wildcards. The first one is engaged to a fixed length of wildcards. Fischer et al. [10] developed an algorithm for solving pattern matching with wildcards, in which the number of wildcards between two consecutive letters in P is a constant. Cole et al. [3] concentrated on the total of

wildcards which is fixed in P . The disadvantage of this kind of research is that the length of wildcards is not a range. But in a general case, it is difficult to know every length of wildcards between every two consecutive letters in pattern P in advance. So the length of wildcards cannot be a constant but a range [11, 12]. Recently, the problem with flexible gap constraints has attracted extensive attention. He et al [2] aimed to solve the problem of frequent pattern mining without user specified gap constraints. Huang et al [13] considered the problem of mining a set of gap constrained sequential patterns across multiple sequences. Zhu et al [14] studied mining frequent patterns with gaps and the one-off condition. Chen et al [11] proposed an algorithm, SAIL, by which the optimal occurrences under the one off condition were found. Min et al [12] introduced an algorithm, PAIG-RST (reduced space and time), by which the number of occurrences of the problem was computed. In [12], not only the length of wildcards between every two consecutive letters (which is called complex local constraints) but also the length of all occurrences (which is called global length constraints) are ranges. But when it is not necessary to consider the global length constraints, PAIG-RST is not highly efficient because some local constraints are recalculated.

In order to avoid recalculating, a more efficient algorithm Nettoree for pAttern Matching with flExible wlldcard Constraints (NAMEIC), based on a new nonlinear data structure Nettoree, is proposed in this paper. A Nettoree is a kind of directed acyclic graph (DAG) with edge labels. The concept, structure and creation rules of Nettoree are given. Then the proof of its correctness is provided. An example is used to illustrate how our NAMEIC works. The time complexity of the algorithm is $O(W^*m*n)$, where n is the length of S , m is the length of P and W is the maximal gap flexibility. NAMEIC is W^*m

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times faster than PAIG-RST whose time complexity is $O(W^2 * m^2 * n)$.

In summary, our contributions in this paper are as follows:

- We present a new nonlinear structure called Nettoree. A Nettoree is different from a tree in that a node may have more than one parent. To our best knowledge, this is the first study on this nonlinear structure.
- An algorithm (NAMEIC) based on Nettoree is proposed to solve pattern matching with flexible wildcard constraints.

The rest of this paper is organized as follows. In Section 2 the definition of the problem is given. In Section 3 the concept and structure of Nettoree are explained at first. Then the design of NAMEIC is provided. After this the correctness of the algorithm is proved and an illustration example is used to show how the algorithm works. In Section 4 the time and space complexity of the algorithm and the upper bound of the problem are analyzed. In Section 5 our experiments demonstrate the correctness of our analysis of the problem. We conclude in Section 6.

2. Problem Formulation

In this section, we give a brief introduction of the problem first defined in [11].

Definition 1. Pattern Matching with Flexible Wildcard Constraints (PMFVC).

Given a **pattern** $P = p_0[\min_0, \max_0] p_1 \dots [\min_{j-1}, \max_{j-1}] p_j \dots p_{m-2}[\min_{m-2}, \max_{m-2}] p_{m-1}$ and a **sequence** $S = s_0 s_1 \dots s_i \dots s_{n-1}$, where m is the **length of pattern** P , $p_j \neq \phi$, $0 \leq j < m-1$, n is the **length of sequence** S , $s_i \neq \phi$ and $0 \leq i < n-1$. ϕ is referred to as **wildcard** which denotes a letter and can match any letter in a given alphabet. \min_{j-1} and \max_{j-1} are given integer values and mean the minimal and maximal length of wildcards between two given letters p_{j-1} and p_j respectively, where $0 \leq \min_{j-1} \leq \max_{j-1}$. p_{j-1} and p_j are two **consecutive letters**. When $\min_{j-1} = \max_{j-1} = 0$, $p_{j-1}[0, 0] p_j$ can be written as $p_{j-1} p_j$.

If there exists a sequence A of position indices $\{a_0 \dots a_j \dots a_{m-1}\}$ which, subject to the following equation, is an **occurrence** of pattern P in sequence S ,

$$\begin{aligned} p_j &= s_{a_j} \\ \text{subject to } \min_{j-1} &\leq a_j - a_{j-1} - 1 \leq \max_{j-1}, \\ a_{j-1} &< a_j \end{aligned} \quad (1)$$

where $0 \leq a_j \leq n-1$.

$a_{m-1} - a_0 + 1$ is referred to as the **length of the occurrence** A . \min_{j-1} and \max_{j-1} are **flexible wildcard constraints**.

When pattern P and sequence S are given, the focus of the paper is to compute the number of all occurrences, $N(S, P)$.

3. Nettoree and Algorithm Design

3.1. The Definition of Nettoree

Definition 2. A Nettoree is a kind of DAG with two kinds of edge labels, "parent-child" and "child-parent", where each node has zero or more children nodes and zero or more parents nodes. Furthermore, the children of each node have a specific order. A Nettoree has the following three properties.

1. A Nettoree is an extension of a tree because it has all concepts of a tree, such as the root, leaf, level, parent, child and so on.

2. A Nettoree may have r roots, where $r \geq 1$.

3. Some nodes except roots in a Nettoree may have q parents, where $q \geq 1$.

A Nettoree is shown in Fig. 1. Nodes A and B are two roots of the Nettoree. Nodes C, F and G are three leaves. Node D has two parents (nodes A and B). In Fig.1, each edge has a label either "parent-child" or "child-parent". So it is a kind of DAG with edge labels. Otherwise there will be a cycle $\{B, D, G, E, B\}$.

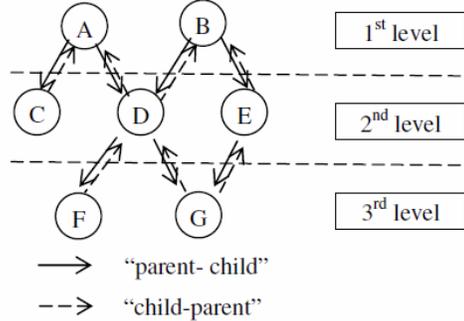


Figure 1. A Nettoree.

Definition 3. Node t ($0 \leq t \leq n-1$) in the j^{th} ($1 \leq j \leq m$) level is denoted by n'_j . $Path(n'_j)$ denotes the number of **root paths** of node n'_j (from all first level nodes to node n'_j). The number of root paths of a first level node is 1 i.e., $Path(n'_1) = 1$.

Another characteristic of Nettoree is that there may be more than 1 root path from a root to a node in a Nettoree. For example, there are 2 root paths from root B to node G ($\{B, D, G\}$ and $\{B, E, G\}$) in Fig. 1.

Property 1. The number of root paths of a j^{th} level node ($Path(n'_j)$) is the sum of root paths of its parents

$$Path(n'_j) = \sum_{i=1}^k Path(n'_{j-1}_i), \quad (2)$$

where k is the number of parents of node n_j^i .

3.2. The structure of Nettoree

In order to solve the pattern matching problem in Definition 1, the structures of Nettoree levels and Nettoree nodes are used.

The structure of **Nettoree levels** is used to link all the nodes and count the number of nodes of each level. This structure has two fields i.e. data field and pointer field. A data field contains two kinds of data i.e. char and number which represent the common character of nodes and the number of nodes in this level respectively. A pointer field contains three pointers i.e. head pointer, start pointer and tail pointer. The head pointer points to the first node in this level. The start pointer points to the first possible parent of the next level node and the tail pointer points to the last node of this level.

There are six fields in the structure of **Nettoree nodes** (shown in Fig. 2). The degrees of parents and children represent the numbers of its parents and its children respectively. The pointer arrays of parents and children contain parents and children of the current node respectively. The next pointer contains a successor of the current node in the same level. A data field contains two numbers which represent the position of the sequence and the number of its root paths respectively.

The degree of parents	Pointer array of parents		
	Data field	Next pointer	
The degree of children	Pointer array of children		

Figure 2. The structure of Nettoree nodes.

3.3. Proposed algorithm

When a letter s_i ($0 \leq i \leq n-1$) arrives, we check whether s_i satisfies the following three rules or not. If yes, we create a node or an edge according to the rules.

Rule 1. Creation of a first level node.

If $s_i = p_0$, node n_1^i will be created in the first level and the node will be added in the tail of the first level and the number of the first level nodes should be increased by 1.

Rule 2. Creation of a $j+1^{\text{th}}$ ($j > 0$) level node.

If $s_i = p_j$ and the distance between i and the j^{th} level node n_j^e satisfies the local constraints ($\min_{j-1} \leq i - e - 1 \leq \max_{j-1}$), node n_j^i will be created in the $j+1^{\text{th}}$ level and the node will be added in the tail of the $j+1^{\text{th}}$ level and the number of the $j+1^{\text{th}}$ level nodes should be increased by 1.

Rule 3. Creation of a parent-child relation between nodes n_{j-1}^q and n_j^i .

If the distance between nodes n_j^i and n_{j-1}^q satisfies the local constraints ($\min_{j-1} \leq i - q - 1 \leq \max_{j-1}$), a parent-child relation between nodes n_j^i and n_{j-1}^q will be created.

The algorithm of NAMEIC is given as follows. Lines 5 through 8 create a root. Lines 9 through 22 create a higher level node. Lines 15 through 19 create a parent-child relationship. Line 20 computes the number of occurrences $N(S,P)$. According to the algorithm, while the Nettoree for the problem is created, $N(S,P)$ can be computed.

Algorithm NAMEIC

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Input:  $S = s_0 s_1 \dots s_i \dots s_{n-1}$  and  $P = p_0[\min_0, \max_0] p_1 \dots p_{j-1}[\min_{j-1}, \max_{j-1}] p_j \dots p_{m-2}[\min_{m-2}, \max_{m-2}] p_{m-1}$ 
Output: The number of occurrences
Method:
1: create the array of hd according to  $P$  and compute  $W$ 
2: sum=0;
3: for (i=0; i<n; i++)
4:   for (j=0; j<m; j++)
5:     if (s[i] == p[j] && j==0) then //satisfies Rule 1
6:       create a new node nda( $n_1^i$ ) with path 1;
7:       add nda to the tail of the first level of Nettoree;
8:     end if
9:     if (s[i] == p[j] && j!=0) then
10:      // other levels except the first level
11:      ndb= find the first node which satisfies local constraints
12:      [ $\min_{j-1}, \max_{j-1}$ ] according to hd[j-1].start;
13:      hd[j-1].start=ndb; //update hd[j-1].start
14:      if ( $\min_{j-1} \leq i - ndb.position - 1$ ) then //satisfies Rule 2
15:        create a new node nda( $n_{j+1}^i$ );
16:        add nda to the tail of the  $j+1^{\text{th}}$  level of Nettoree;
17:        while (i - ndb.position - 1 >= gap[j-1].min)
18:          //satisfies Rule 3
19:          create parent-child relationship between ndb and nda
20:          nda.path += ndb.path;
21:          ndb = ndb.next;
22:        end while
23:        if (j==m-1) then sum += nda.path;
24:        //compute the number of occurrences
25:      end if
26:    end if
27:  end for
28: end for
29: return sum;

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3.4. Correctness

In this subsection, we prove the correctness of NAMEIC.

Theorem 1. The number of root paths of a certain node is the sum of root paths of its parents.

Proof (Proof by induction.)

Assume node n_2^l has k parents, $n_1^{l_1}, n_1^{l_2}, \dots, n_1^{l_k}$. There are k kinds of different root paths which are $\{n_1^{l_1}, n_2^l\}, \{n_1^{l_2}, n_2^l\}, \dots, \{n_1^{l_k}, n_2^l\}$. Therefore $Path(n_2^l)$ is k . Because $Path(n_1^{l_1}) = Path(n_1^{l_2}) = \dots = Path(n_1^{l_k}) = 1$, equation (2) is correct.

Assume the nodes of the j^{th} level and above levels satisfy equation (2), the $(j+1)^{\text{th}}$ level nodes should also satisfy equation (2).

Node n_{j+1}^l has k parents, $n_j^{l_1}, n_j^{l_2}, \dots, n_j^{l_k}$. So there are k kinds of different paths from the j^{th} level nodes to node n_{j+1}^l which are $\{n_j^{l_1}, n_{j+1}^l\}, \{n_j^{l_2}, n_{j+1}^l\}, \dots, \{n_j^{l_k}, n_{j+1}^l\}$. So there are $Path(n_j^{l_1}), Path(n_j^{l_2}), \dots, Path(n_j^{l_k})$ kinds of different root paths from the first level nodes to node n_{j+1}^l and passing through nodes $n_j^{l_1}, n_j^{l_2}, \dots, n_j^{l_k}$ respectively. Therefore equation (2) is correct.

This completes the proof.

Assuming there are k nodes in the m^{th} level of the Nettoree for the problem, these node names are $n_m^{l_1}, n_m^{l_2}, \dots, n_m^{l_k}$. So there are $Path(n_m^{l_1}), Path(n_m^{l_2}), \dots, Path(n_m^{l_k})$ kinds of different root paths from roots to the m^{th} level nodes. Hence, according to Theorem 1, $N(S,P)$ is the sum of root paths of all m^{th} level nodes, and it can be written as

$$N(S,P) = \sum_{i=1}^k Path(n_m^{l_i}). \quad (3)$$

3.5. An illustration example

In this subsection, an illustration example is used to show how our NAMEIC works.

Example 1. Given the sequence $S = ababaaa$ and pattern $P = a[0,3]b[0,4]a[0,3]a$, we have $\min_0=0, \max_0=3, \min_1=0, \max_1=4, \min_2=0$ and $\max_2=3$.

A Nettoree can be created and the result is shown in Fig. 3. We can see that each index i is created as many nodes in the Nettoree. For example, index 4 is created as three nodes in the Nettoree, n_1^4, n_3^4 and n_4^4 because $s_4=a$ can match $p_0=a, p_2=a$ and $p_3=a$. Similarly, we can know that indices 2, 5 and 6 are created as two nodes, three nodes and three nodes respectively.

$Path(n_1^0) = 1$ because node n_1^0 is a first level node. $Path(n_2^1) = 1$ because node n_2^1 has only 1 parent, node n_1^0 . $Path(n_3^2) = 2$ because node n_3^2 has 2 parents, nodes n_1^0 and n_2^1 , and $Path(n_1^2) = Path(n_1^2) = 1$. Similarly, we can know

that root paths of nodes n_4^4, n_4^5 and n_4^6 are 1, 4 and 7 respectively. $N(S,P)$ is 12 because nodes n_4^4, n_4^5 and n_4^6 are three fourth level nodes of the Nettoree and $1+4+7=12$. All occurrences are $\{0, 1, 2, 4\}, \{0, 1, 2, 5\}, \{0, 1, 4, 5\}, \{0, 3, 4, 5\}, \{2, 3, 4, 5\}, \{0, 1, 2, 6\}, \{0, 1, 4, 6\}, \{0, 3, 4, 6\}, \{2, 3, 4, 6\}, \{0, 1, 5, 6\}, \{0, 3, 5, 6\}$ and $\{2, 3, 5, 6\}$. The problem is solved after creating the Nettoree in one pass.

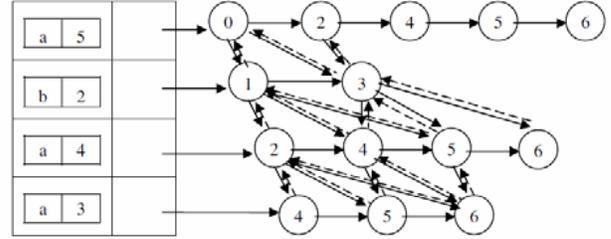


Figure 3. The Nettoree for example 1.

4. Analysis

4.1. Complexity

The number of the Nettoree levels is $O(m)$. The space complexity of all Nettoree nodes is $O(W*m*n)$ because the depth of the Nettoree is m , each level has no more than n nodes and each node has no more than W parents and W children, where m, n and W are the lengths of pattern P and sequence S and the maximal gap flexibility respectively. Therefore the space complexity of NAMEIC is $O(W*m*n)$.

Meanwhile the space complexity of NAMEIC can be reduced to $O(W*m+n)$. If $i > W+1$, all nodes of n_j^{i-W-2} ($1 \leq j \leq m$) of each level can be deleted because these nodes are useless for creating new nodes. Therefore after adding the following code before line 23 of algorithm NAMEIC, NAMEIC-INT (Incomplete NetTree) can be realized.

if $i > W+1$ then delete node (n_{i+1}^{i-W-2})

It is easy to know that the time complexity of both NAMEIC and NAMEIC(INT) are $O(W*m*n)$.

[12] gives the time complexity of PAIG-RST (Reduced Space and Time) which is $O(W^2*m^2*n)$. The comparisons of time and space complexity for PAIG, NAMEIC and NAMEIC(INT) are shown in Table 1.

From Table 1, the time complexity of NAMEIC is $1/(W*m)$ times of PAIG-RST and an example is given below to illustrate the difference between PAIG-RST and NAMEIC.

Table 1. The comparisons of time and space complexity for each algorithm

Algorithm	Time complexity	Space complexity
PAIG-RST [12]	$O(W^2*m^2*n)$	$O(W*m+n)$
NAMEIC	$O(W*m*n)$	$O(W*m*n)$
NAMEIC(INT)	$O(W*m*n)$	$O(W*m+n)$

Example 2. Let us consider the same problem in example 1. Here the problem is solved by PAIG-RST.

Tables 2 and 3 are constructed by PAIG-RST to solve the problem. $N(P,S) = 1+3+5+1+2=12$ according to the cells "4(1),5(3),6(5)" and "5(1),6(2)" in Table 3. According to these two tables, some position indices are computed many times. For example indices 5 and 6 are computed twice in the last column of Table 3.

Table 2. Matching lookup table

Index	S	a[0,3]b	b[0,4]a	a[0,3]a
0	a	1,3	-	2,4
1	b	-	2,4,5	-
2	a	3	-	4,5,6
3	b	-	4,5,6	-
4	a	-	-	5,6
5	a	-	-	6
6	a	-	-	-

Table 3. Matching table for prefix patterns

Index	S	a[0,3]b	a[0,3]b[0,4]a	a[0,3]b[0,4]a[0,3]a
0	a	1(1)	2(1),4(2),5(2),6(1)	4(1),5(3),6(5)
1	b	-	-	-
2	a	3(1)	4(1),5(1),6(1)	5(1),6(2)
3	b	-	-	-
4	a	-	-	-
5	a	-	-	-
6	a	-	-	-

4.2. Analysis of the problem

Let the maximal length of all occurrences be a constant. It can be expressed by the following equation.

$$1 + \max_0 + 1 + \max_1 + 1 + \dots + \max_{m-2} + 1 = C_1. \quad (4)$$

We know that

$$N(S,P) < n * (\max_0 - \min_0 + 1) * \dots * (\max_{m-2} - \min_{m-2} + 1). \quad (5)$$

To maximize the upper bound of $N(S,P)$, we must set

$$\max_0 = \max_1 = \dots = \max_{m-2}, \quad (6)$$

$$\min_0 = \min_1 = \dots = \min_{m-2} = 0. \quad (7)$$

Let $\max_0 = \max_1 = \dots = \max_{m-2} = T$, equations (4) and (5) can be written as equations (8) and (9) respectively.

$$(m-1) * (T+1) = C_2, \quad (8)$$

where $C_2 = C_1 - 1$.

$$N(S,P) < n * (T+1)^{(m-1)}. \quad (9)$$

n is a given value and it can be neglected. To maximize $(T+1)^{(m-1)}$ means to maximize $(m-1)\log(T+1)$. Assuming $W = T+1$, equation (8) can be written as

$$m-1 = C_2/W. \quad (10)$$

Let $F(W) = \log(W) C_2/W$. The derivate of $F(W)$ is equation (11).

$$F'(W) = C_2/W^2 (1/W * W - 1 * \log(W)). \quad (11)$$

If $F'(W) = 0$, $\log(W) = 1$ i.e., $W \approx 2.718$. As W should be an integer, we have $W = 3$ and $T = 2$. It means that when $\forall j: \min_{j-1} = 0$ and $\max_{j-1} = 2$ ($0 < j \leq m-1$), $N(S,P)$ can get the maximum value and it is an exponential problem for m .

5. Experiments

In this section, three kinds of experiments are carried out. All experiments are conducted on a computer with Pentium (R) 4 CPU 3.40GHZ and 1.0 GB of RAM, Windows XP. In these experiments, all sequences S are "aa...a...a", but the length of S may be different and pattern $P = a[0,T]a[0,T]a$ can be denoted as "a ([0,T]a)²".

The first experiment verifies that when the maximal length of all occurrences is a constant and $\forall j: \min_{j-1} = 0$ and $\max_{j-1} = 2$ ($0 < j \leq m-1$), $N(S,P)$ can get the maximum value. The maximal distances for all patterns are 25 and the results are given in Table 4. We can see that when the pattern is "a([0,2]a)⁸", $N(S,P)$ gets the maximum value 734832. Therefore it verifies when $\min_{j-1} = 0$ and $\max_{j-1} = 2$, $N(S,P)$ can get the maximum value.

Table 4. The upper bound of $N(S,P)$ testing

The length of S	The pattern	The maximal length of all occurrences	$N(S,P)$
128	a([0,1]a) ¹²	25	450560
128	a([0,2]a) ⁸	25	734832
128	a([0,3]a) ⁶	25	462848
128	a([0,5]a) ⁴	25	147744
128	a([0,7]a) ³	25	58624
128	a([0,11]a) ²	25	16560
128	a([0,23]a) ¹	25	2772

In order to verify that $N(S,P)$ is an exponential problem for m , a group of experiments and the results are given in Table 5, where the rate is $N(S, a([0,2]a)^{m+1}) / N(S, a([0,2]a)^m)$. We can see that all rates are closed to 3 because $\max_{j-1} - \min_{j-1} + 1$ is 3. The results show that the problem is an exponential problem for m . All entries in Tables 4 and 5 are solved in 0 ms and so the time cost column is neglected.

Table 5. The exponent problem for m testing

The length of S	The pattern	$N(S,P)$	Rate
128	a([0,2]a) ²	1116	-
128	a([0,2]a) ³	3294	2.9516
128	a([0,2]a) ⁴	9720	2.9508
128	a([0,2]a) ⁵	28674	2.9500
128	a([0,2]a) ⁶	84564	2.9492
128	a([0,2]a) ⁷	249318	2.9483

In order to compare the time cost between NAMEIC and PAIG-RST, $N(S,P)$ is neglected. Since it is impossible to give a precise time cost less than 16 ms, " ≤ 16 " is used to indicate less than 16 ms. Looking at the results in Table 6, NAMEIC is clearly faster than PAIG-RST.

Table 6. A comparison of time cost of NAMEIC and PAIG-RST

The length of S	The pattern	Time cost of NAMEIC(ms)	Time cost of PAIG-RST(ms)
256	$a([0,2]a)^{10}$	≤ 16	≤ 16
256	$a([0,2]a)^{11}$	≤ 16	≤ 16
256	$a([0,2]a)^{20}$	≤ 16	31
256	$a([0,2]a)^{40}$	16	94
256	$a([0,2]a)^{80}$	47	453
512	$a([0,2]a)^{80}$	78	1328

6. Conclusions

Pattern matching with flexible wildcard constraints is a more difficult problem than the classical one because the length of wildcards is a range in the new problem whereas it is a constant in the traditional problem. In this paper, a new algorithm (NAMEIC) based on Nettoree has been proposed to solve pattern matching with flexible wildcard constraints more effectively and the time complexity of algorithm is $O(W*m*n)$, where n is the length of S , m is the length of P and W is the maximal gap flexibility. NAMEIC can give its result after creating a Nettoree in one pass.

The main contribution of this paper is to propose a new nonlinear structure, Nettoree. A Nettoree is different from a tree in that a node may have more than one parent. Since it is a new kind of data structure, it may have many special concepts and properties. We believe that not only the method can be applied in many fields such as stream data mining, biological sequence analysis, text indexing, time series data mining and so on but also Nettoree will attract more theoretical studies.

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